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Brinks Hofer Gilson & Lione PO Box 10395 Chicago, Illinois 60610 JAN **2** 5 2007 OFFICE OF PETITIONS

In re Application of Wauke
Application No. 10/042,068
Filed: January 8, 2002

Attorney Docket NO. 9281-4240

: DECISION HOLDING PTA REQUEST :IN ABEYANCE UNTIL AFTER ISSUE

:DATE

This decision is in response to applicants' PETITION FOR RECONSIDERATION OF PATENT TERM ADJUSTMENT timely filed on June 16, 2005 requesting that the Office adjust the PTA at the time of the mailing of the notice of allowance to at least 836 days.

The instant application for patent term adjustment requests reconsideration of the patent term adjustment as it relates to the Office's failure to issue the patent within 3 years of the filing date, a decision is being <u>held in abeyance</u> until after the actual patent date. Knowledge of the actual date the patent issues is required to calculate the amount, if any, of additional patent term patentee is entitled to for Office failure to issue the patent within 3 years. See § 1.703(b).

However, in addition, the Office notes that the USPTO failed to reduce for an omission pursuant to 37 CFR 1.704(c)(7). Accordingly, the correct amount of PTA at the time of the mailing of the notice of allowance is ZERO (0) days rather than the assigned amount of four (4) days.

Applicants agree with the reductions and addition as it relates to 37 CFR 1.702(a)(1) (24 days) and 37 CFR 1.704(b) (20 days). Applicants assert that they are entitled to at least 566 days under 37 CFR 1.703(b).

Patentees arguments are persuasive to the extent herein asserted. The Office agrees that the application will not issue within three years of either the submission of an RCE or the issuance of the patent. Accordingly, applicants will receive additional PTA for the USPTO's failure to comply with 37 CFR 1.702(b), 1.703(b). However, see infra as to when such PTA will be added to applicant's PTA total at the time of the mailing of the notice of allowance. In addition, the USPTO notes that applicants did not receive a reduction for the improper RCE submission on March 22, 2004. The Office notes that the RCE correction was submitted on April 16, 2004. Accordingly, the reduction pursuant to 37 CFR 1.704(c)(7) should have been for a period of 25

<sup>&</sup>lt;sup>1</sup>Issue fee paid on October 18, 2006.

days.<sup>2</sup> Accordingly, at the time of the notice of allowance the amount of USPTO delay is 24 days and the amount of applicant delay is 25 days.

As for the request under 37 CFR 1.703(b), Patentees are given **TWO (2) MONTHS** from the issue date of the patent to file a written request for reconsideration of the patent term adjustment for Office failure to issue the patent within 3 years. A copy of this decision should accompany the request. Patentee may seek such consideration without payment of an additional fee. However, as to all other bases for seeking reconsideration of the patent term adjustment indicated in the patent, all requirements of § 1.705(d) must be met. Requests for reconsideration on other bases must be timely filed and must include payment of the required fee.

Petitioner is reminded that if an application is entitled to an adjustment under 35 U.S.C. 154(b)(1)(B), the entire period during which the application (except for periods excluded under 35 U.S.C. 154(b)(1)(B)(i)-(iii)), and not just the period beginning three years after the actual filing date of the application, is the period of delay under 35 U.S.C. 154(b)(1)(B) in determining whether periods of delay overlap under 35 U.S.C. 154(b)(2)(A). Thus, any days of delay for Office issuance of the patent more than 3 years after the filing date of the application which overlap with the days of patent term adjustment accorded prior to the issuance of the patent will not result in any additional patent term adjustment. See 35 U.S.C. 154(b)(1)(B), 35 U.S.C. 154(b)(2)(A), and 37 CFR § 1.703(f). See also Revision of Patent Term Extension and Patent Term Adjustment Provisions; Final Rule, 69 Fed. Reg. 21704 (April 22, 2004).

After the mailing of this decision, this application will be forwarded to the Office of patent publications for a prompt issuance of the patent. The Office apologizes for any delays in this decision and notes that any delays in issuing the application within four months of the payment of the issue fee and all other requirements being satisfied will be added to the determination that is mailed with the issue notification letter.

The Office has assessed the \$200.00 fee required of this request. No additional fees are required in considering this matter.

Any questions should be directed to Kery A. Fries, Senior Legal Advisor, at 571-272-7757.

Kery A. Tues Kery Fries

Senior Legal Advisor

Office of Patent Legal Administration

Office of Deputy Commissioner

for Patent Examination Policy

<sup>&</sup>lt;sup>2</sup>The reduction begaon on the day after the initial reply (3/23/04) and ended on April 16, 2004 the date of the submission of the paper correction the error.

Day: Thursday Date: 1/25/2007

Date: 1/25/2007
Time: 10:12:56



PTA Calculations for Application: 10/042068						
Application Filing Date: 01/08	7/2002 PTO Delay (PTO):	24				
Issue Date of Patent:	Three Years:	0				
Pre-Issue Petitions: 0	Applicant Delay (APPL):	20				
Post-Issue Petitions: 0	Total PTA (days):	0				
PTO Delay Adjustment: -25						

File Contents History						
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71	07/18/2006	MAIL NOTICE OF ALLOWANCE				
70	07/14/2006	ISSUE REVISION COMPLETED				
69	07/14/2006	NOTICE OF ALLOWANCE DATA VERIFICATION COMPLETED				
68	07/14/2006	CASE DOCKETED TO EXAMINER IN GAU				
67	07/14/2006	NOTICE OF ALLOWABILITY				
66	06/14/2006	DATE FORWARDED TO EXAMINER				
65	06/02/2006	RESPONSE AFTER EX PARTE QUAYLE ACTION				
64	04/03/2006	MAIL EX PARTE QUAYLE ACTION (PTOL - 326)				
63	03/31/2006	EX PARTE QUAYLE ACTION				
62	01/30/2006	DATE FORWARDED TO EXAMINER				
61	01/20/2006	RESPONSE AFTER NON-FINAL ACTION		1	57	
60	10/25/2005	IFW TSS PROCESSING BY TECH CENTER COMPLETE				
59	07/31/2002	REFERENCE CAPTURE ON IDS				
58	01/08/2002	REFERENCE CAPTURE ON IDS				
57	10/19/2005	MAIL NON-FINAL REJECTION				
56	10/17/2005	NON-FINAL REJECTION				
55	08/10/2005	EXAMINER INTERVIEW SUMMARY RECORD (PTOL - 413)			-	
54	08/08/2005	DATE FORWARDED TO EXAMINER				
53	08/01/2005	REQUEST FOR CONTINUED EXAMINATION (RCE)		12	45	
52	08/08/2005	DISPOSAL FOR A RCE/CPA/129 (EXPRESS ABANDONMENT IF CPA)				
51	08/01/2005	REQUEST FOR EXTENSION OF TIME - GRANTED				

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50		WORKFLOW - REQUEST FOR RCE - BEGIN	<u> </u>	<u> </u>	
49		MAIL ADVISORY ACTION (PTOL - 303)	┦	╬——	][
48		ADVISORY ACTION (PTOL-303)	┥┝──	<u> </u>	
47		DATE FORWARDED TO EXAMINER		<u> </u>	
46		AMENDMENT AFTER FINAL REJECTION	_	<u> </u>	
45		MAIL FINAL REJECTION (PTOL - 326)		<u> </u>	
44		FINAL REJECTION	_		
43	03/10/2005	DATE FORWARDED TO EXAMINER	_		
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40	11/26/2004	NON-FINAL REJECTION			
39	11/23/2004	DATE FORWARDED TO EXAMINER			
38	11/18/2004	AMENDMENT AFTER FINAL REJECTION			
37	11/18/2004	WORKFLOW INCOMING AMENDMENT IFW			
36	09/15/2004	MAIL FINAL REJECTION (PTOL - 326)			][
35	09/13/2004	FINAL REJECTION			
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28	04/16/2004	REQUEST FOR CONTINUED EXAMINATION (RCE)			
27	04/22/2004	DISPOSAL FOR A RCE/CPA/129 (EXPRESS ABANDONMENT IF CPA)			
26		WORKFLOW INCOMING AMENDMENT IFW			
25	03/29/2004	MAIL NOTICE OF INFORMAL OR NON- RESPONSIVE RCE AMENDMENT			
24	03/29/2004	NOTICE OF INFORMAL OR NON-RESPONSIVE RCE AMENDMENT.			
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21	03/29/2004	DISPOSAL FOR A RCF/CPA/129 (EXPRESS			
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19	03/22/2004	WORKFLOW - REQUEST FOR RCE - BEGIN			
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		DATE FORWARDED TO EXAMINER			
15	10/03/2003	RESPONSE AFTER NON-FINAL ACTION			
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12	05/16/2003	DATE FORWARDED TO EXAMINER			
11	05/06/2003	RESPONSE TO ELECTION / RESTRICTION FILED			
10	04/01/2003	MAIL RESTRICTION REQUIREMENT	24		1
9	03/31/2003	REQUIREMENT FOR RESTRICTION / ELECTION			
8	07/31/2002	INFORMATION DISCLOSURE STATEMENT (IDS) FILED			
7	03/07/2002	CASE DOCKETED TO EXAMINER IN GAU			
6	01/08/2002	REQUEST FOR FOREIGN PRIORITY (PRIORITY PAPERS MAY BE INCLUDED)			
5	01/08/2002	INFORMATION DISCLOSURE STATEMENT (IDS) FILED			
4	02/15/2002	APPLICATION DISPATCHED FROM OIPE			
3	02/13/2002	APPLICATION IS NOW COMPLETE			
2	01/25/2002	IFW SCAN & PACR AUTO SECURITY REVIEW			
1	01/08/2002	INITIAL EXAM TEAM NN			

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## **EXPLANATION OF PTA CALCULATION**

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